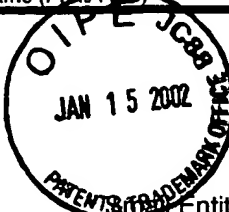


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print Name)	Donna Macedo	Signature	<i>D. Macedo</i>	Date	11/5/01
<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  <p>TRANSMITTAL</p> </div> <div style="text-align: center;"> <p>COPY OF PAPERS ORIGINALLY FILED</p> </div> </div> <div style="display: flex; justify-content: space-around; margin-top: 10px;"> <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Large Entity </div>			Application Number	09/942,032	
			Confirmation Number	7285	
			Filing Date	August 28, 2001	
			First Named Inventor	Thomas T. Yamashita	
			Examiner	Unassigned	
			Group Art	3643	
			Attorney Docket No.	YAMA001CON9	

ENCLOSED:	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input type="checkbox"/> Amendment Under Rule	Total	13	20	0		\$ -
<input type="checkbox"/> 37 CFR §	Independent	1	3	0		\$ -
<input type="checkbox"/> Pages	Multiple					
Total Extra Claim Fees						\$ -

Applicants Petition for an Extension of time from _____ to _____ A month extension was previously filed and paid for thereby reducing the basic fee

☒ Response to File Missing Parts (with copy of formalities letter)

<input checked="" type="checkbox"/> Filing Fee	Fee	\$370.00
<input checked="" type="checkbox"/> Executed Declaration	Pages	2
<input checked="" type="checkbox"/> Other Preliminary Amendment	Surcharge Fee	\$65.00
_____	Fee	_____
_____	Fee	_____
_____	Fee	_____
_____	Fee	_____
		Subtotal
		\$435.00

☒ Information Disclosure Statement

<input checked="" type="checkbox"/> PTO Form 1449	Pages	2
<input type="checkbox"/> _____ Copies of Cited References		
<input type="checkbox"/> Other _____		
		Fee
		Subtotal
		\$ -

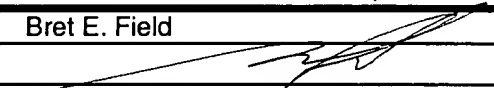
☐ Response to Notice to Comply (with copy of Notice to Comply)

<input type="checkbox"/> Sequence Listing Certification		
<input type="checkbox"/> Paper Copy of Sequence Listing	Pages	_____
<input type="checkbox"/> Diskette in computer-readable format		
<input type="checkbox"/> Other _____		
		Fee

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
COPY OF PAPERS ORIGINALLY FILED		
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$435.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	Bret E. Field	Registration No.	37,620
Signature		Date	11-02-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
		zip	94025
Telephone - Direct Dial	650-327-3400	Facsimile	650-327-3231

Application No. 09/942,032 Attorney Docket No. YAMA001CON9 Page 2 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,032	08/28/2001	Thomas T. Yamashita	YAMA001CON9

Bret E. Field
Bozicevic, Field and Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025



COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 7285

FORMALITIES LETTER



OC000000006861598

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$756.
 - o \$486 for 27 total claims over 20.
 - o \$270 for multiple dependent claim surcharge.
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1596.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice **MUST** be returned with the reply.

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09/942,032-01/2002

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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